

## **Protest**

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What shapes political outcomes?



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- Public
- Non-violent (at least to start)
- Usually costly to participants (dangerous, illegal, uncomfortable, possibility of reprisals)
- Sometimes very consequential: peaceful regime changes (e.g. Egypt 2011, 2013); policy changes (e.g. Indian independence, U.S. civil rights movement)



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We're going to think about how protests work, and about the future of protest.

# Plan (2)



#### Some questions about protests:

- ▶ Why do participants participate?
- What do demonstrations demonstrate?
- Why/when are they effective?



Tahrir Square, 1 Feb 2011 (Peter Macdiarmid/Getty Images, via  $\mathit{The Guardian}$ )



## Some interesting examples

Logic of coordination

What do demonstrations demonstrates

Protests as costly signals

Values and protests

Conclusion

# Ceauşescu's last speech, Dec. 1989





# Egyptian revolution, 2011





# Egyptian revolution, 2011 (2)



#### Key events:

- ▶ late-2010, early 2011: Tunisian Revolution
  - 17 Dec 2010: Muhammad Bouazizi self-immolates after fruit cart confiscated
  - ▶ 14 Jan 2011: Pres. Ben Ali steps down after escalating protests and military defection
  - ► Protests in Egypt: "We are next, we are next, Ben Ali tell Mubarak he is next" (LeVine, "Tunisia: How the US got it wrong")

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  - ▶ Protests in Egypt: "We are next, we are next, Ben Ali tell Mubarak he is next" (LeVine, "Tunisia: How the US got it wrong")
- 25 Jan 2011 (Police Day holiday): coordinated demonstrations against police brutality (partly organized on Facebook) converge on Tahrir Square (later dispersed)
- ▶ 28 Jan 2011 ("Day of Rage"): Tahrir Square recaptured by protestors
- ▶ 11 Feb 2011 Mubarak steps down

## Asmaa Mahfouz, 18 Jan 2011





Meet Asmaa Mahfouz and the vlog that Helped Spark the Revolution



### Some interesting examples

### Logic of coordination

What do demonstrations demonstrate Signaling theory

Protests as costly signals

Values and protests

#### Conclusion

# Puzzles of protest



- ▶ Why are mass protests sometimes so surprising?
- ▶ Why do they seem to be contagious?

# Puzzles of protest



- Why are mass protests sometimes so surprising?
- Why do they seem to be contagious?

**Key point**: costs/benefits of protest depend on others' participation.

# Coordination games



#### Stag hunt

	Player 2		
	Stag	Hare	
Stag	2,2	0,1	
Hare	1,0	1,1	
		Stag 2,2	

# Coordination games



#### Stag hunt

#### Battle of the sexes

		Player 2	
		Stag	Hare
Player 1	Stag	2,2	0,1
	Hare	1,0	1,1

		Player 2		
		Opera	Football	
Player 1	Opera	3,2	1,1	
	Football	0,0	2,3	

# Coordination games



#### Stag hunt

#### Battle of the sexes

		Player 2				Player 2	
		Stag	Hare			Opera	Football
Player 1	Stag	2,2	0,1	Player 1	Opera	3,2	1,1
	Hare	1,0	1,1		Football	0,0	2,3

**Distinctive feature:** No dominant strategy for either player; rather, rewards for matching counterpart's strategy.

## Coordination in Asmaa Mahfouz video



Fundamental message is: I will be there (with others) and therefore you should also be there.

- Strongly communicating own plan: "I'm going down on January 25, and from now until then I'm going to distribute fliers in the street every day."
- ► Cleverly highlighting cost of not coordinating: "You'll be responsible for what happens to us on the street while you sit at home"
- ► Emphasizing potential effectiveness: "Your presence with us will make a difference, a big difference!" "So long as you come down with us, there will be hope."

# Bandwagon







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- ightharpoonup Each individual i has private preference  $x^i$ , where higher  $x^i$  indicates more anti-government feelings
- ▶ S is the percent of the population publicly opposing the regime
- An individual's net benefit of publicly expressing opposition depends positively on  $x^i$  and S (e.g. oppose if  $b(S,x_i)>0$ , where  $\frac{\partial b}{\partial S}>0$  and  $\frac{\partial b}{\partial x^i}>0$ )



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#### Implications:

- ► Each individual *i* has threshold level of *S*, which Kuran calls *T*<sup>*i*</sup>, at which he or she will publicly oppose regime
- Level of public opposition sensitive to small changes in thresholds

### Illustration



Take a set of 10 individuals, and call A the ordered set of their threshold values  $T^i$ :

$$A = \{0, 2, 2, 3, 4, 5, 6, 7, 8, 10\}$$

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Now consider slight variant:

$$A' = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 10\}$$

Starting from 0, equilibrium level of opposition: 9.

# Explaining puzzles of protest



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- Unpredictability of protest: Changes in expectations/beliefs, small changes in information/preferences can produce large changes in behavior
- Contagion of protest: External events can cause changes in expectations/beliefs and/or information/preferences

### Olson vs. Kuran



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**Typical situation in Kuran:** a member of a group deciding whether to join in to a protest. Decision depends on others' participation because the **cost** of participating depends hugely on others' participation – **safety in numbers**.

### Olson vs. Kuran



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**Typical situation in Kuran:** a member of a group deciding whether to join in to a protest. Decision depends on others' participation because the **cost** of participating depends hugely on others' participation – **safety in numbers**.

Helps explain why decisive protests against Ceauşescu's began at a rally (earlier demonstrations after World Cup victory); why Egyptian demonstrations tended to follow Friday prayers.



#### Some interesting examples

#### Logic of coordination

#### What do demonstrations demonstrate?

Signaling theory
Protests as costly signals
Values and protests

Conclusion

# Signaling theory





## Key idea of signaling models



When there is information asymmetry (hidden types) and incentives to lie, the informed party can communicate through observable actions if

- the action is costly
- the cost depends on the hidden information
- ▶ incentives are such that the types "separate": "high types" do a lot of the action, "low types" do a little, etc.

# Pronking as signaling





Sender	Receiver	Hidden info	Signaling de- vice	If signal is successful, receiver thinks
Antelope	Lion	Antelope's speed, fitness	Jumping	"Only a very strong and fast gazelle can (afford to) do that; I won't bother chasing him."
Antelope	Potential mate	Antelope's survival fitness	Jumping	"Only a very strong and fast gazelle can (afford to) do that; I will mate with him."

## Job market signaling





Michael Spence

Suppose workers' ability is unobservable, and education is more costly for less able workers. Then it might be an equilibrium for able workers to get a degree and less able workers to not get a degree – even if education has no other value to anyone.

# Job market signaling





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Sender	Receiver	Hidden info	Signaling de- vice	If signal is successful, receiver thinks
Worker	Employer	Worker's ability	Education	"Only an able worker finds school tolerable; I will hire him."

# Protests as costly signals (1)



Actions taken by protesters can be quite costly:

- ▶ Actions that are risky because they are **illegal** protest *per se* in repressive regimes; trespassing ("sit-ins", Occupy)
- ► Actions that are intrinsically **uncomfortable or painful** sleeping out in the cold, hunger strikes, self-immolation

# Protests as costly signals (2)



What information is conveyed by protests? (What do demonstrations demonstrate, and to whom?)

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Some ideas, focused on anti-regime protests:

- ▶ Protests convey information about the regime to other citizens (Lohmann 1994)
- ▶ Protests convey information about protestors' preferences to other citizens (Kricheli et al 2011)
- Protests convey information about citizens' preferences to the regime and to outside observers

# Basic idea of Kricheli et al (2011)



Kricheli et al (2011) think of a demonstration as a prelude to a revolution:

- ▶ Participants pay the cost of participating in order to communicate to others their discontent with the regime
- ▶ All citizens observe how many people protest and decide whether to participate in the next, decisive clash (second round)

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Related to models in which citizens participate in elections in order to signal their preferences (not just to determine the winner)

## Kricheli et al (2011): Empirics



#### **Predictions:**

- "More repressive autocratic regimes are less likely to experience civil protest."
- ► "The more repressive the regime is, the more dangerous civil protests are once they occur."

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#### Findings:

- Repression negatively correlated with regime breakdown
- The occurrence of a protest more likely to lead to breakdown in a more repressive regime.

#### Other messages of protests



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# Other messages of protests



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The choice of tactics – e.g. unarmed confrontation with security forces – also suggests a desire to win support by **provoking visible oppression**.

⇒ success of protests depends on media, but also on values: justice, rights, compassion.



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### Summing up



- ▶ Importance of coordination in (costly) protest/demonstrations, because usually the cost depends (negatively) on turnout ⇒ unpredictability, contagion
- Demonstrations communicate information (to other citizens, to regime, to outsiders) in part because participation is costly for the participants